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Regulations
Chapter 1000 the Hearing and Giving Award related to
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***1001 Dispute Settlement by Arbitral Tribunal**

In case where there are disputes concerning the purchase or sale of derivatives contract in TFEX or relating to the delivery of commodity between members; or between members and clients; or between clients, the parties in dispute may file an application to TFEX to request for the settlement made by the Arbitral Tribunal provided by TFEX.

In the case where the disputes arising from or relating to the purchase or sale of derivatives contracts which are related to the clients, the parties in dispute may choose to file an application to the Office of the SEC to request for the settlement made by arbitrators provided by the Office of the SEC.

*(*Amended December 18, 2015, Force January 4, 2016)*

***1002 Appointment of Arbitral Tribunal**

The Arbitral Tribunal provided by TFEX shall consist of not less than 5 arbitrators. The panel of arbitrators shall consist of 2 persons appointed by the Commission from the experts and/ or the person experienced in derivatives contracts or underlying assets, 1 person who is a legal expert, and 1 person who is nominated by each party in dispute.

*(*Amended December 18, 2015, Force January 4, 2016)*

1003 Filing of the Application

To file the application, applicants shall comply with the rules prescribed by TFEX.

***1004 The Hearing**

The validly appointed Arbitral Tribunal shall have the authority and duty to consider the disputes of the parties in dispute. In this regard, the rules and procedures to process the hearing of dispute shall be as prescribed by TFEX.

*(*Amended December 18, 2015, Force January 4, 2016)*

***1005 The Arbitral Award**

When the arbitral tribunal has heard the disputes and properly performed the hearing proceeding pursuant to the rules and procedures on the hearing of dispute, the Arbitral Tribunal shall render arbitral award as prescribed by TFEX.

*(*Amended December 18, 2015, Force January 4, 2016)*

1006 Conciliation

The disputing parties may take a conciliation by complying with the terms and conditions prescribed by TFEX.

In case where the disputing parties agree to a compromise, the parties shall bind by such the agreement and the disputes shall be terminated and the parties shall not be able to bring such disputed to file an application for arbitral dispute settlement proceedings again.

1007 Administrative Costs and Fees

The administrative costs, arbitrators' fee and other fees related to settle the disputes shall be prescribed by TFEX.

1008 Miscellaneous

For the purpose of hearing and giving award, the calculation of the period of time for withdrawing and disposing of the case of the disputing parties shall be prescribed by TFEX.
