

Readers should be aware that only the original Thai text has legal force and that this English translation is strictly for reference. Thailand Futures Exchange Public Company Limited cannot undertake any responsibility for its accuracy nor be held liable for any loss or damages arising from or related to its use.

Contents

Regulations

Chapter 100 General Provisions

	Page
101 Governing Law	100-1
101.01 Regulations of TFEX.....	100-1
101.02 Derivatives Contracts	100-1
102 Liabilities of TFEX and Affiliates	100-1
102.01 Liabilities of TFEX and Affiliates.....	100-1
102.02 Liabilities of Persons Performing the Duties.....	100-2
103 Data from the Trading System	100-2
104 Dealing with Data of the Members and Clients	100-2
105 Miscellaneous	100-2

Regulations
Chapter 100 General Provisions

101 Governing Law

101.01 Regulations of TFEX

The regulations of TFEX shall be governed by and interpreted in accordance with the laws of Thailand.

In case of doubt or problem in the application or interpretation of the regulations of TFEX, TFEX shall make a decision. The decision of TFEX shall be final and binding upon the members.

101.02 Derivatives Contracts

The members agree that any rights, duties and responsibilities that are legal relations arising out of the trading of derivatives contracts on TFEX shall be subject to the rules and regulations of TFEX and the laws of Thailand.

102 Liabilities of TFEX and Affiliates

***102.01 Liabilities of TFEX and Affiliates**

In the operations and provision of services by TFEX and its Affiliates, TFEX and its Affiliates shall not be responsible for any loss and/or damage due to fire, storm, strike, riot, protest, war, control by government authority, error in data and transmission of data, error related to communications network system, or delay caused by communications network of a third party or communications network of TFEX and its Affiliates, error or malfunctioning relating to the trading system, malfunctioning of computer hardware, electrical failure, or force majeure affecting the operations of TFEX and its Affiliates, which may have adverse impact on the members, the members' clients or any persons, except for damage or loss caused by willful act or gross negligence of TFEX and its Affiliates.

In the delivery of the commodity in accordance with the obligations specified in derivatives contract, TFEX and its Affiliates shall not be responsible for any loss and/or damage including the quality of the commodity, the accuracy or validity of the documents, quality of any other service providers and other liabilities related to the delivery of the commodity that may occur in any case.

(Amended December 18, 2015, force January 4, 2016)

102.02 Liabilities of Persons Performing the Duties

(1) The persons who perform duties or do any other act related to the performance of duties for the interest of TFEX and the affiliates in accordance with their power and duties in good faith must not be liable for any damage that may arise therefore.

(2) The persons who perform duties under (1) shall mean to include the Board, the Managing Director, the sub-committees appointed by the Board to perform any functions, the officers of TFEX and the affiliates, or any persons delegated by TFEX and the affiliates.

103 Data from the Trading System

Data recorded in or processed by the trading system shall be the property of TFEX.

The members may disclose data received from the trading system only when permission has been obtained from TFEX or such disclosure is made to clients.

***104 Dealing with Data of the Members and Clients**

TFEX shall keep confidential, and shall not disclose to any other persons, the data belonging to its members and clients, which is received from its operations, and which should not be disclosed under normal circumstances, except for data which has already been disclosed to the public in the trading procedures, or in accordance with section 153 of the law on derivatives, or data in relation to the trading of interest rate futures, whereby the underlying commodity is under the supervision of the Thai Bond Market Association, and which has already been disclosed to such association.

(Amended October 7, 2010, force October 18, 2010)

105 Miscellaneous

(1) The regulations of TFEX might be amended or revised in whole or in part for suitability with the situation that may be changed or as TFEX considers appropriate.

(2) TFEX shall fix the fees for its services which must be in accordance with its rules and regulations, provided that TFEX may increase or change those fees as considered appropriate.
