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**Procedures**  
**Chapter 700 Persons Involved in the Trading System**

**704 Registration of Authorized Trader**

**704.02 Keeping of Identification Number, User Name and Password of Authorized Trader**

The member and the authorized trader shall not inform or disclose their identification number, user name and password to other persons.

In case where it is reasonably suspected or appears that any other person knows the identification number, user name or password designated by TFEX, the member shall immediately notify TFEX and request the cancellation and change of such data. If the member fails to make such a notification to TFEX or such notification is not made in a timely manner, the member and the authorized trader must be responsible for any damage that arises or may arise therefrom.

**704.03 Fees Relating to Authorized Trader**

The member shall pay the following fees to TFEX at the rates as prescribed by TFEX:

- (1) Fee for the application for the appointment of an authorized trader;
- \* (2) -
- (3) Fee for a new password.

*(\*Amended 18 Apr 2014, Effective 6 May 2014)*

**714 Performance of a Market Maker**

**\*714.01 Trading Through the Trading System**

TFEX may permit a market maker to connect its computer system used for trading with the trading system, in accordance with the following criteria:

(1) in the event the market maker is a member, it shall comply with rule 401.01-2(1) or 401.01-3 as the case may be;

(2) in the event the market maker is a non-member juristic entity, the market marker and the member that allows the market maker to execute trading transactions through its computer system, shall comply with rules 401.01-2(4). In this regard, TFEX may prescribe any additional criteria for the connection of that computer system.

*(\*Amended 31 Mar 2010, Effective 1 Apr 2010)*

**\*714.02 Performance of a Market Maker who is a Member**

The criteria regarding the application for appointment of an authorized trader, and the registration of an authorized trader under the rule 703 and the rule 704 shall apply to the application for registration of a member's market making officers *mutatis mutandis*.

*(\*Amended 24 Aug 2006, Effective 28 Aug 2006)*

**\*714.03 Performance of Duties by a Non-member Market Maker**

In the event that a non-member market maker has connected its computer system used in trading with the trading system, or with a member's computer system, the market maker shall notify TFEX of the list of persons who are in charge of transmitting trading

orders in the performance of duties of the market maker, for TFEX to consider their qualifications before the performance of duties.

TFEX will consider the qualifications of the persons in charge of transmitting trading orders under the first paragraph, based upon their knowledge, ability, and work experience, or experience in the performance of duties as a market maker.

*(\*Added 20 Jan 2009, Effective 2 Feb 2009)*

*(\*\*Cancelled Schedule of Fees Relating to Authorized Trader by 18 Apr 2014, Effective 6 May 2014)*

**\*722 Performance of a Delivery Equalizer**

A Delivery Equalizer shall execute the trading transactions through the trading system in accordance with the criteria prescribed by TFEX. In this regard, rule 714 Performance of a Market Maker shall apply to the performance of a Delivery Equalizer mutatis mutandis

*(\*Added 23 August 2017, Effective 4 September 2017)*

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